

## WAVEGUIDE APPARATUS AND METHOD

### STATEMENT OF GOVERNMENT RIGHTS

[0001] This invention was conceived and/or reduced to practice  
5 under U.S. Government Contract No. NRO-000-02-C-0032. The U.S.  
Government has certain rights in this invention.

### FIELD OF THE INVENTION

[0002] The present invention relates to electromagnetic wave  
10 antennas, and more particularly to a waveguide for use with electromagnetic  
wave antennas, wherein the waveguide forms a tapering transition region that  
more effectively channels an electromagnetic wave signal therethrough  
without cutting off various portions of the frequency band of the signal.

### 15 BACKGROUND OF THE INVENTION

[0003] Waveguides, and particularly circular waveguides, are an important part of antenna design. Circular waveguides are used extensively in phased array antennas that are important in low-cost mobile and satellite communications. In such applications, typically an array of circular  
20 waveguides are incorporated to form the aperture of the phased array antenna for transmitting or receiving electromagnetic wave signals that are transmitted through free space. The precise diameter of the circular waveguide is determined by the performance requirements of the specific antenna with which the waveguide is being used. In part, such requirements  
25 include the frequency and bandwidth of the electromagnet wave signals and the maximum desired scanning angle of the phased array antenna, the desired overall efficiency of the antenna, as well as array packaging design and manufacturing capabilities.

[0004] Once the waveguide diameter is selected, it is always  
30 necessary to have waveguide transitions for bridging waveguides of different diameters to accommodate the needs of component (e.g., antenna module, filter, etc.) and array testing. It will be appreciated that standard and well

known procedures exist for designing a circular waveguide transition section with a tapered, low loss dielectric rod inserted inside the tapered waveguide section. An illustration of the tapered transition section with a loaded dielectric insert is shown in Figure 1. This conventional waveguide structure  
5 includes a tubular waveguide component 10 and a dielectric insert 12 disposed within the waveguide component 10. An inner surface 14 of the waveguide component 10 is linear along substantially its entire length, or its entire length, while an outer surface 16 of the dielectric insert 12 is also linear along its entire length, or substantially along its entire length. The  
10 performance of the waveguide transition of Figure 1 can be predicted using the equations:

$$\underline{a(z, z_{tip}) \cdot in^{-1}}$$

$$\underline{-a(z, z_{tip}) \cdot in^{-1}}$$

15

$$\underline{b(z) \cdot in^{-1}}$$

$$\underline{b(z) \cdot in^{-1}}$$

20

$$\underline{-b(z) \cdot in^{-1}}$$

25

- where "a" is the radius of the dielectric insert; and
- where "b" is the inside radius of the metal waveguide at any waveguide cross section;
- where "z" is the distance in inches of the transition region;
- where "z<sub>tip</sub>" is the diameter of the tip of the dielectric; and
- where "in" indicates the unit "inches"

$$f_c \left( 1, b(z), \frac{a(z, z_{tip})}{b(z)}, \epsilon_r, 1 \right)$$

- where "f<sub>c</sub>" is the cut-off frequency; and
- 5 - where "ε<sub>r</sub>" is the relative dielectric constant of the material comprising the dielectric insert.

[0005] When solving the above-described formulas, the cut-off frequency of a plurality of waveguide modes for any combination of diameters of waveguide and dielectric load can be determined. The region formed 10 between the inner surface 14 of the waveguide component 10 and the outer surface 16 of the dielectric insert 12 forms a conventional, tapering transition region that is reduced gradually along the length of the waveguide. A desirable waveguide design would place the waveguide operating frequency band above a cut-off frequency over the whole transition length of the 15 waveguide component. However, with reference to Figure 2, it can be seen that the calculated mode cut-off frequencies imposed by the waveguide of Figure 1, being significantly non-linear, can effectively cut-off a portion of an operating frequency band, represented by dashed lines 20, if the operating frequency band is not selected to be above the mode cut-off frequencies of 20 the waveguide. With certain antenna designs, it is not always possible to select the operating frequency such that the mode cut-off frequencies imposed by the waveguide will not cut-off portions of signals within the operating frequency bandwidth. Furthermore, the frequency cut-off problem imposed by the conventional waveguide of Figure 1 is such that it cannot be 25 remedied simply by adjusting the taper of the inside surface of the waveguide member and/or the taper of the outer surface of the dielectric insert. This limitation significantly constrains the design of phased array antennas.

#### SUMMARY OF THE INVENTION

30 [0006] The present invention is directed to a waveguide apparatus and method that provides a reduced, and more linear frequency cut-off profile.

This allows antennas, such as phased array antennas, being used with the waveguide to be designed with an operating frequency bandwidth that will not be adversely affected by the cut-off frequency of the waveguide. More specifically, this allows a phased array antenna to be designed with a desired 5 operating bandwidth that is not constrained by the cut-off frequencies imposed by the waveguide with which it is being used.

**[0007]** In one preferred form the present invention comprises a tubular waveguide structure having a tapering internal surface. A dielectric insert is disposed within the tubular waveguide structure. The dielectric insert 10 has an outer surface. The inner surface of the waveguide structure and the outer surface of the dielectric insert cooperatively form an annular, tapering transition region for channeling electromagnetic wave energy between the waveguide structure and an antenna aperture. At least one of the internal surface of the waveguide structure or the outer surface of the dielectric insert 15 is non-linear, and thus forms a non-linear profile. In one preferred embodiment, the dielectric insert includes a gradually curving outer surface that forms a gradually curving, conical shape when viewed in profile. In another preferred embodiment the dielectric insert includes a plurality of distinct, linear sections disposed adjacent one another that form an overall, 20 non-linear shape.

**[0008]** In other preferred embodiments various curvatures of the inner surface of the waveguide member and outer surface of the dielectric member are disclosed along with associated frequency cut-off performance graphs. An embodiment of the waveguide particularly well suited for use in 25 the Ku-band (12GHz-18GHz) frequency spectrum is also disclosed.

**[0009]** In still other preferred embodiments, the internal surface of the waveguide member forms a gradually curving surface that is non-linear in profile. Still another alternative preferred embodiment has the inner surface of the waveguide member comprised of a plurality of distinct, linear sections 30 disposed adjacent one another to form an overall non-linear shape when viewed in profile.

[0010] Each of the preferred embodiments described above forms essentially an annular, tapering channel when viewed in cross-section. This provides a significantly "flatter" cut-off frequency and eliminates the problem of the waveguide effectively cutting off portions of the electromagnetic wave signal at various frequencies within the operating bandwidth of the antenna aperture with which the present invention is being used.

[0011] The features, functions, and advantages can be achieved independently in various embodiments of the present inventions or may be combined in yet other embodiments.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

[0012] The present invention will become more fully understood from the detailed description and the accompanying drawings, wherein:

15 [0013] Figure 1 is a simplified side cross-sectional view of a conventional waveguide;

[0014] Figure 2 is a plot of the cut-off frequencies imposed by the waveguide of Figure 1 relative to an operating bandwidth of an antenna, wherein " $f_c$ " is the cutoff frequency, "z" is the distance in inches of the 20 transition region, and the operating bandwidth is represented by dashed lines;

[0015] Figure 3 is a side elevation view of a waveguide in accordance with a preferred embodiment of the present invention;

[0016] Figure 4 is a side cross-sectional view of the waveguide of Figure 3;

25 [0017] Figure 5 is a graph of the cut-off frequency performance of the waveguide of Figure 3;

[0018] Figure 6 is a cross-sectional view of a portion of a waveguide in accordance with an alternative preferred embodiment of the present invention, wherein the dielectric insert incorporates a plurality of distinct linear 30 sections that form an overall, non-linear, conical shape when viewed in profile;

[0019] Figure 7 is a graph of the cut-off frequency performance of the waveguide of Figure 6;

[0020] Figure 8 illustrates an alternative preferred embodiment of the waveguide of the present invention wherein the inner surface of a tubular waveguide member forms a gradually curving surface, but the dielectric insert includes a linear outer surface;

5 [0021] Figure 9 is a graph of the cut-off frequency performance of the waveguide of Figure 8;

10 [0022] Figure 10 illustrates another alternative preferred embodiment of the present invention wherein the inner surface of the tubular waveguide member includes a plurality of distinct linear sections adjacent one another that form an overall, non-linear shape when viewed in profile, and the dielectric insert includes linear surfaces;

[0023] Figure 11 is a graph of the cut-off frequency performance of the waveguide of Figure 10.

15 [0024] Figure 12 illustrates a simplified side cross sectional view of another alternative preferred embodiment of the waveguide in which both the inner surface of the waveguide member and the outer surface of the dielectric insert have gradually curving profiles;

[0025] Figure 13 is a graph of the cut-off frequency performance of the waveguide of Figure 12;

20 [0026] Figure 14 illustrate a simplified side cross sectional view of still another alternative preferred embodiment of the waveguide in which both the inner surface of the waveguide member and the outer surface of the dielectric insert have each have a plurality of distinct linear sections that form a non-linear surface;

25 [0027] Figure 15 is a graph of the cut-off frequency performance of the waveguide of Figure 14;

30 [0028] Figure 16 illustrate a simplified side cross sectional view of another alternative preferred embodiment of the waveguide in which both the inner surface of the waveguide member and the outer surface of the dielectric insert have gradually curving, concave tapers;

[0029] Figure 17 is a graph of the cut-off frequency performance of the waveguide of Figure 16;

[0030] Figure 18 illustrates a simplified side cross sectional view of another alternative preferred embodiment of the waveguide, specifically adapted for use in the Ku-band frequency spectrum (12GHz-18GHz); and

[0031] Figure 19 is a graph of the cut-off frequency performance of  
5 the waveguide of Figure 18.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0032] The following description of the preferred embodiment(s) is  
10 merely exemplary in nature and is in no way intended to limit the invention, its application, or uses.

[0033] Referring to Figure 3, there is shown a waveguide 100 in accordance with a preferred embodiment of the present invention. The waveguide 100 includes a first flange portion 102 having a plurality of bores 15 104 for coupling to an external structure (not shown), such as for test purposes. An antenna aperture 106 is coupled to a second flange 108 via a plurality of spaced apart openings 110. The waveguide 100 includes a tapering transition region 112 that forms a channel 114 for directing electromagnetic wave energy between the aperture 106 and a circuit 20 assembly or other form of external component.

[0034] Referring to Figure 4, a simplified cross-sectional view of a portion of the waveguide 100 is illustrated. The waveguide 100 includes a tubular waveguide component or member 116 within which is inserted a dielectric insert 118. The waveguide component is typically made from an 25 electrical conductor, such as aluminum or another form of metal. The dielectric insert 118 is disposed concentrically within the tubular waveguide component 116. The tubular waveguide component 116 includes an inner surface 120 and an outer surface 122. Inner surface 120 tapers gradually from a first end 124 to a second end 126 of the tubular waveguide component 30 116. Thus, when viewed in cross-section, as in Figure 4, the inner surface 120 forms a linearly tapering region.

[0035] With further reference to Figure 4, the dielectric insert 118 is preferably formed by a solid dielectric material, such as plastic, and more preferably from REXOLITE™ dielectric material. The dielectric insert 118 has an overall conical shape formed by an outer surface 128 that includes a slight, 5 gradual, smooth curvature over at least substantially its entire length. This is in contrast to inner surface 120 which forms a linear surface along at least substantially its entire length. The precise curvature may be varied as needed to tune the waveguide 100 performance for specific applications. In practice, it is preferred to manufacture the dielectric insert 118 with a slightly smaller 10 overall length (preferably about 0.25 inch; 6.35 mm) to reduce the possibility of breakage of the tip during assembly of the waveguide 100.

[0036] The non-linear (i.e., slightly curving) profile formed by outer surface 128 of dielectric insert 118 provides significant benefits to the performance of the waveguide 100. These are visible in Figure 5. With brief 15 reference to Figure 5, dashed lines 130 define an operating frequency bandwidth therebetween. Curve 132 shows the cut-off frequencies produced by the waveguide 100 over the length of its transition region 112. The cut-off frequencies produced by the waveguide 100 at all points along the transition region 112 remain below the operating frequency bandwidth 130. This is in 20 contrast to the cut-off frequencies produced by conventional antenna 10 as shown in Figure 2, which extend into the operating frequency bandwidth defined in Figure 2. The “flatter” frequency cut-off performance of the waveguide 100 allows the operating frequency bandwidth 130 to be selected closer to the cut-off frequencies of the waveguide than is possible with 25 previously designed waveguides.

[0037] Although it will be appreciated that the precise dimensions of the components of the waveguide 100 may vary to tune the waveguide for use with antennas operating at various frequencies, the following Table 1 sets forth various exemplary dimensional values for the components of the wave 30 guide 100:

Length  
Unit: Inches

TABLE 1

Figure No.	Air guide radius	Dielectric tip recess from air guide end	Transition Length from tip	Transition mid-section point length from tip	Transition mid-section point radius	Dielectric guide length	Dielectric guide radius	Overall length
1	0.39	0	4.75	n/a	n/a	0.5	0.235	5.25
4	0.39	0.25	4.5	n/a	n/a	0.5	0.235	5.25
6	0.39	0.25	4.5	1.75	0.142	0.5	0.235	5.25
8	0.39	0.25	4.5	n/a	n/a	0.5	0.235	5.25
10	0.39	0.25	4.5	1.75	0.363	0.5	0.235	5.25
12	0.39	0.25	4.5	n/a	n/a	0.5	0.235	5.25
14	0.39	0.25	4.5	1.75	0.363/0.135	0.5	0.235	5.25
16	0.39	0.25	4.5	n/a	n/a	0.5	0.235	5.25
18	0.295	0.25	3.5	1	0.07	0.5	0.165	4.25

[0038] Referring to Figure 6, a waveguide component 200 in accordance with an alternative preferred embodiment of the present invention is shown. The waveguide component 200 is essentially identical to 5 waveguide component 100, and reference numerals designating components in common with waveguide component 100 are increased by 100 over those used in Figure 4. The principal difference between waveguide component 200 and waveguide component 100 is in the shape of a dielectric insert 218 thereof. Inner surface 220 is linear over its entire length, or at least 10 substantially its entire length. Dielectric insert 218 includes an outer surface 228 comprised of two distinct linear sections 228a and 228b disposed adjacent one another to form a continuous outer surface that is non-linear and conical when viewed in profile. The length of each section 228a and 228b may be varied to tune the performance of the waveguide as needed. With 15 brief reference to Figure 7, the dielectric insert 218, in combination with linear inner surface 220, produces a cut-off frequency profile 232 that is significantly flatter than the cut-off frequency curve 18 of Figure 2, which allows an operating frequency bandwidth 230 to be selected that is lower in frequency without being affected by the cut-off frequencies imposed by the waveguide 20 component 200 over its transition region 216.

[0039] Referring to Figure 8, a waveguide component 300 in accordance with another alternative preferred embodiment of the present

invention is illustrated. Waveguide component 300 is similar to waveguide 100 and common portions are denoted in Figure 8 by reference numerals increased by a factor of 200 over those used in Figure 4 to represent waveguide 100. The waveguide component 300 of Figure 8 includes an inner  
5 surface 320 on transition region 312 which curves gradually over substantially its entire length, or over its entire length, in contrast to the linear inner surfaces 120 and 220 described herein. The waveguide component 300 includes a conical dielectric insert 310, however, that has an outer surface 328 that is linear over substantially its entire length, or its entire length. The  
10 curving inner surface 320 of the tubular waveguide member 316, in combination with the linear outer surface 328 of the dielectric insert 310, produces a frequency cut-off profile 332 shown in Figure 9 which is also substantially flatter than that illustrated in Figure 2. This allows an operating frequency bandwidth 330 to be selected that is lower in frequency.

15 [0040] Referring now to Figure 10, still another waveguide component 400 in accordance with alternative preferred embodiment of the present invention is shown. Waveguide component 400 is similar to waveguide 100 and like components and portions are designated by reference numerals increased by a factor of 300 over those used with the  
20 waveguide 100 shown in Figure 4. The waveguide component 400 differs from the component 100 by the use of a tubular waveguide component 416 having an inner surface 420 comprised of a plurality of distinct, linear sections 428a, 428b formed adjacent one another to form a continuous non-linear, tapering shape when viewed in cross-sectional profile. A dielectric insert 410  
25 includes an outer surface 428 that forms a smooth, linear surface that appears conical in cross-section.

30 [0041] With brief reference to Figure 11, the cut-off frequency performance of the waveguide component 400 is shown. Cut-off frequency curve 432 can also be seen to be significantly flatter than the cut-off frequency curve 18 of Figure 2. This allows an operating frequency bandwidth 430 to be selected that is lower in frequency without being adversely effected by the cut-off frequency characteristics of the waveguide component 400.

[0042] Figure 12 illustrates a waveguide component 500 in accordance with an alternative preferred embodiment of the present invention. Waveguide component 500 differs from waveguide 100 by the use of a gradually tapering, concave inner surface 520 for the tubular waveguide 5 component 516, and a dielectric insert 510 having a gradually curving outer profile 528. The cut-off frequency performance of the waveguide component is shown in Figure 13. Cut-off frequency curve 532 is below an operating frequency bandwidth 530.

[0043] Figure 14 illustrates a waveguide component 600 in 10 accordance with another alternative preferred embodiment of the present invention. Waveguide component 600 differs from waveguide 100 by the use of an inner surface 620 for the tubular waveguide component 616 having a plurality of distinct linear sections 620a and 620b that form an overall non-linear surface, and a dielectric insert 610 having an outer surface 628 made 15 up of a plurality of distinct linear sections 628a and 628b that make up an overall non-linear surface. Figure 15 illustrates the cut-off frequency performance of the waveguide component 600. Cut-off frequency curve 632 is maintained below an operating frequency bandwidth 630.

[0044] Figure 16 illustrates still another waveguide component 700 in accordance with an alternative preferred embodiment of the present invention. Waveguide component 700 includes a tubular waveguide component 716 having an inner surface 720 that has a gradually concave taper. Dielectric insert 710 similarly includes a gradual concave taper on its outer surface 728. Figure 17 illustrates the frequency cut-off performance of 25 the waveguide component 700. Cut-off frequency curve 732 is maintained well below an operating frequency bandwidth 730.

[0045] Figure 18 illustrates another alternative preferred embodiment 800 of the waveguide component of the present invention that is particularly adapted for use in the Ku-band frequency spectrum (12GHz-30 18GHz). The embodiments of Figures 4-17, in view of their dimensions, are especially well suited for use in the X-band frequency spectrum (approximately 8 GHz- 12GHz). However, it will be appreciated that further

modifications of the length and diameters of the tubular waveguide component 816 and the dielectric insert 810 can be made to tailor the waveguide component for use within other frequency spectra.

[0046] The waveguide component 800 is similar to the waveguide component of Figure 6, with only a slightly shorter overall length of its tubular waveguide component 816 and its dielectric insert 810. An outer surface 828 has linear sections 828a and 828b that form a non-linear surface. Figure 19 illustrates a frequency cut-off performance curve 832 that is clearly below an operating frequency bandwidth 830, where the bandwidth 830 falls within the Ku-band. It will be appreciated that each of the shapes for the tubular waveguide component and the dielectric insert described relative to Figures 4-17 can be applied to the waveguide component 800 to allow even further specific tuning of the performance of the waveguide component 800.

[0047] Each of the above described embodiments may be employed in connection with the phased array antenna described in U.S. Patent 6,424,314 to Navarro et al; and/or one or more of the following phased array antennas described in co-pending U.S. Patent applications (by serial no.) 10/625,767, filed 7/23/03; 10/200,088, filed 7/19/02; 10/032,352, filed 12/21/01 and 09/915,836, filed 7/26/01, the disclosure of each of which is incorporated by reference into the present application.

[0048] The present invention thus forms a waveguide having significantly improved cut-off frequency performance. The cut-off frequency performance of the waveguide allows an operating frequency of an antenna aperture to be selected without the limitations imposed by previously developed waveguides having cut-off frequency performance that limits the selection of the operating frequency bandwidth. The present invention further does not significantly complicate the construction of the waveguide nor increase its overall dimensions or impose significant additional cost in its manufacture or resulting additional weight thereof.

[0049] While various preferred embodiments have been described, those skilled in the art will recognize modifications or variations which might be made without departing from the inventive concept. The examples

illustrate the invention and are not intended to limit it. Therefore, the description and claims should be interpreted liberally with only such limitation as is necessary in view of the pertinent prior art.